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JMS/DLW/ets
03/19/2003

PATENT APPLICATION
Docket No 2251 2003-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tzvi Avnery
Application No.: 10/005,194 Group: 2881
Filed: December 4, 2001 Examiner: James J. Leybourne
Confirmation No.: 6951
For: FLUID STERILIZATION APPARATUS

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11/13/03	<i>Ellen T. Spear</i>
Date	Signature
<i>Ellen T. Spear</i>	
Typed or printed name of person signing certificate	

REQUEST FOR CORRECTION/AMENDMENT OF INVENTORSHIPUNDER 37 C.F.R. § 1.48(a)

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the inventorship be corrected and the above-captioned application, including page 1 of the specification, be amended to add Kenneth P. Felis as an inventor. With such amendment, Tzvi Avnery and Kenneth P. Felis are the joint inventors of the subject application.

Enclosed herewith are the following documents: Statement under 37 CFR §1.48(a)(2) by Kenneth P. Felis, an executed Declaration by the actual inventors as required by §1.63; and Written Consent of the Assignee to the inventorship change with Statement under §3.73(b).

10/005,194

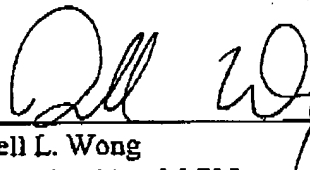
-2-

Please charge Deposit Account No. 08-0380 in the amount of \$130 in payment of the processing fee as set forth in §1.17(1). In addition, please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By



Darrell L. Wong

Registration No.: 36,725

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date: 11/13/2003

[Application No.]-1 -

ODMA\HODMA\Manage;383083;1
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Date 11/13/03

Signature *Ellen T. Spear*

Ellen T. Spear
Typed or printed name of person signing certificate

STATEMENT UNDER 37 C.F.R. § 1.48(a)(2) by Kenneth P. Felis

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Kenneth P. Felis, 279 Lower Sanborn Road, Stowe, Vermont, 05672, hereby state that I am a co-inventor with Tzvi Avnery of the subject matter claimed in the above-captioned application as filed. The error of omitting my name as a co-inventor occurred without deceptive intention on my part.

Name

K-P-Felis
Kenneth P. Felis

Date

11/12/03

DOCKET NO. 2251.2003-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Declaration for Patent Application☐ Supplemental (37 C.F.R. §1.67)

As a named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed) or an original, first and joint inventor (if plural names are listed in the signatory page(s) commencing at page 2 hereof) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Fluid Sterilization Apparatus

the specification of which (check one)

☐ is attached hereto.☒ was filed on December 4, 2001 as United States ApplicationNumber or PCT International Application No. 10/005,194

and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119 or 365 of any foreign application(s) for patent or inventor's certificate, or of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

<u>Prior Foreign Application(s)</u>			Priority Not Claimed	Certified Copy Filed?	
				YES	NO
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

-2-

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of soleor first inventor Tzvi Avnery

Inventor's Signature

Date 3-10-03Residence 74 George RoadWinchester, Massachusetts 01890Citizenship IsraelMailing Address same

Full name of soleor first inventor Kenneth P. Felix

Inventor's Signature

Date 3/10/03Residence 235 Lower Sanborn RoadStowe, Vermont 05672Citizenship USAMailing Address same

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01/03/2003

PATENT APPLICATION
Docket No.: 2251.2003-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tzvi Avnery
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Date 11/13/03

Signature *Ellen T. Spear*

Ellen T. Spear
Typed or printed name of person signing certificate

WRITTEN CONSENT OF ASSIGNEE IN CORRECTION OF INVENTORSHIP
UNDER 37 C.F.R. §1.48(a)(5)

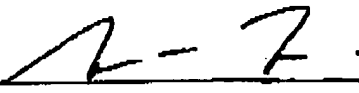
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Advanced Electron Beams, Inc. is the assignee of the entire right, title and interest in the referenced patent application and does hereby consent to the addition of Kenneth P. Felis as an inventor in the application.

A Statement under 37 C.F.R. § 3.73(b) is being filed concurrently with this Written Consent.

Respectfully submitted,

By 
Kenneth P. Felis
Chief Executive Officer
Advanced Electron Beams, Inc.

Wilmington, Massachusetts 01887

Date: 11/12/03

STATEMENT UNDER 37 C.F.R. § 3.73(b)Applicant: Tzyi AvneryApplication No.: 10/005,194Filed: December 4, 2001For: FLUID STERILIZATION APPARATUSAdvanced Electron Beams, Inc.

a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

- A. ☒ the assignee of the entire right, title and interest in the patent application identified above; or
- B. ☐ an assignee together with ☐ of the entire right, title and interest in the patent application identified above. A separate Statement under 37 CFR § 3.73(b) is being submitted.

The right, title and interest of the above-named assignee in the patent application identified above is established by virtue of:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012670, Frame 0315-0317, or a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date: 11/12/03

Name: Kenneth P. Pelis

Title: Chief Executive Officer

Signature: K-P

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